

APS Program Planning Template: State/Territory/District-Specific Focus

State/Territory/District	New York State
Contact	Shelly Fiebich
Budget Allocation	\$ 4,864,372 (ARPA 1); \$9,195,346 (ARPA 2)
Timeline	<p>AMERICAN RESCUE PLAN ACT (ARPA) OF 2021- Grant 1 August 2021 – September 2023</p> <p>AMERICAN RESCUE PLAN ACT (ARPA) OF 2021 - Grant 2 August 2022 – September 2024</p>
<p>Vision 2025</p> <p>The Vision clarifies what your ARPA Grant 1 Program aspires to become and achieve. It is designed to inspire by providing a picture of where the program is heading in 3-5 years.</p> <p>Note: If you are a part of a larger organization, does it have its own future vision? If so, you may want to adapt it to your own program.</p>	<p>Improve practice and service delivery through oversight, guidance, support, and funding to local adult protective services (APS) programs to protect vulnerable adults from abuse, neglect, and exploitation in the least intrusive and least restrictive setting possible.</p>

<p>Mission Statement</p> <p>Mission and Values statements can be an effective tool to educate the public; state and local government officials; state government agencies; provider agencies; and service recipients as to what the Adult Protective Services is and how they do business.</p> <p>Mission Statements answer four key questions about your APS Program:</p> <ul style="list-style-type: none"> • Who do we serve? • What needs do they have that we can fulfil? • How do we meet those needs? How do we make the clients' lives better? • Does it link directly to the Vision Statement? <p>Note: if you are a part of a larger organization, does it have its Mission Statement? If so, you may want to adapt it for your own program.</p>	<p>The New York State Office of Children and Family Services (OCFS) serves New York’s public by promoting the safety, permanency and well-being of our children, families, and communities. We will achieve results by setting and enforcing policies, building partnerships, and funding and providing quality services.</p> <p>New York State is state-supervised and locally administered. Therefore, adult protective services (APS) are provided directly by the local departments of social services (LDSSs).</p>
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<p>Guiding Principles / Core Values Guiding Principles or Core Values guide internal processes and client interactions for your APS Program.</p> <p>Note: if you are a part of a larger organization, does it have its own set of Guiding Principles or Core Values? If so, you may want to adapt it for this program.</p>	<p>We believe everyone has the right to be safe and have permanent, lasting relationships.</p> <p>We believe that services must be individualized and culturally competent, recognizing and honoring differences in traditions, heritage, values, and beliefs.</p> <p>We value the principles of partnership:</p> <ul style="list-style-type: none"> • Everyone desires respect. • Everyone needs to be heard. • Everyone has strengths. • Judgements can wait. • Partners share power. • Partnership is a process.
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Goals for Program Improvement

These are goals to be obtained in order to move your APS program from current practices to your Vision.

Now that you have new funds targeted for your work with APS, what can be enhanced or improved in your current program? These goals must meet the APS Formula Grant requirements. It is recommended these goals become SMART goals (specific, measurable, actionable, and timely).

Using the results of your Environmental Scan, identify key issues that need to be addressed during this planning cycle.

These are goals to move your APS Program from current practices to your Vision #1.

- **Improve/enhance identification and investigation of vulnerable adults who self-neglect or are abused, neglected, or exploited by others.**
- **Enhance/improve use of legal interventions, including improved advocacy, awareness, and training for legal systems partners and stakeholders.**
- **Improve/enhance effective utilization of multidisciplinary teams and community partners and resources to improve investigations, assessments, and service delivery to reduce risk and protect vulnerable adults.**
- **Enhance provision of protective and residential services in the least restrictive manner that will effectively protect and support self-determination of vulnerable and dependent adults.**
- **Youth aging out of foster care or other welfare services who could benefit from and are eligible for adult protective services as they reach adulthood will be identified, have their needs assessed and be protected.**
- **Promote the safety and dignity of vulnerable adults by improving awareness of APS authority and incidences of abuse, injury, exploitation, violence, and neglect.**

Targeted Improvement Projects

Using the results of your Environmental Scan and PESTEL, describe the targeted improvements and enhancements needed for this planning cycle.

Your improvements should be concrete, measurable, and complete.

WHY is this improvement needed?

What **Purpose** or **NEED** will it fill?

What **RESOURCES** will be used?

What **ACTIVITIES** will it entail?

What are the direct **OUTPUTS** of the activities? What are the intended results and how will clients benefit?

- **Project 1: Improve/enhance identification and investigation of vulnerable adults who self-neglect or are abused, neglected, or exploited by others.**
- **Project 2: Enhance/improve use of legal interventions, including improved advocacy, awareness, and training for legal systems partners and stakeholders.**
- **Project 3: Improve/enhance effective utilization of multidisciplinary teams and community partners and resources to improve investigations, assessments, and service delivery to reduce risk and protect vulnerable adults.**
- **Project 4: Enhance provision of protective and residential services in the least restrictive manner that will effectively protect and support self-determination of vulnerable and dependent adults.**
- **Project 5: Youth aging out of foster care or other welfare services who could benefit from and are eligible for adult protective services as they reach adulthood will be identified, have their needs assessed and be protected.**
- **Project 6: Promote the safety and dignity of vulnerable adults by improving awareness of APS authority and incidences of abuse, injury, exploitation, violence, and neglect.**

Activities Improvement Key:

- 1: Establishing or enhancing the availability of elder shelters or other emergency, short-term housing and accompanying “wrap-around” services for APS clients
- 2: Establishing or expanding/enhancing the state-wide and local-level elder justice networks
- 3: Working with tribal adult protective services efforts
- 4: Improving or enhancing existing APS processes
- 5: Improving and supporting remote work, such as purchasing communications and technology hardware, software, or infrastructure (equipment \$5,000 or more needs OCFS and ACL approval)
- 6: Improving data collection and reporting at the caseworker, local, and state levels in a manner consistent with the National Adult Maltreatment Reporting System (NAMRS)
- 7: Establishing new or improving existing processes for responding to alleged scams and frauds
- 8: Conducting community outreach
- 9: Providing goods and services to APS clients
- 10: Acquiring personal protective equipment and supplies
- 11: Paying for extended hours/overtime for staff, hiring temporary staff and associated personnel costs
- 12: Training costs
- 13: Assisting APS clients with securing the least restrictive option for emergency or alternative housing and with obtaining, providing, or coordinating with care transitions as appropriate.

Purpose/ Needs to Be Filled by ARPA Funds	Inputs (Resources Deployed)	Activities (Refer to Key on page 10)	Outputs From Investment	Short-Term Outcomes	Long-Term Outcomes
<p>Based on the results of your Environmental Scan and PESTEL, what <i>needs</i> of your APS Program will be served by the ARPA funds?</p> <p>Should be a clear “connection” with the Environmental Scan items.</p>	<p>People, financial, organizational, or community resources directed toward doing the activity.</p>	<p>Improvement Projects</p> <p>Identify the “What” for each targeted improvement with enough context and information to explain.</p>	<p>Direct products of program activities. May include types, levels, and targets of services to be delivered by the program.</p> <p>Examples include number of people served, number of events held, and number of units of service provided.</p>	<p>Specific changes in behavior, knowledge, skills, status, or level of functioning in 1 year.</p> <p>Internal benefits.</p>	<p>Specific changes in behavior, knowledge, skills, status, or level of functioning.</p> <p>Long-term outcomes should be achievable within the 2-to-5-year timeframe of grants.</p> <p>Benefits to your clients.</p>

Purpose/ Needs to Be Filled by ARPA Funds	Inputs (Resources Deployed)	Activities (Refer to Key on page 10)	Outputs From Investment	Short-Term Outcomes	Long-Term Outcomes
<p>Project 1</p> <p>Improve/ enhance identification and investigation of vulnerable adults who self-neglect or are abused, neglected, or exploited by others.</p>	<p>Utilization of funds to improve public awareness, enhance client safety, worker skill set, timeliness, and outcomes of investigations</p>	<p>1, 2, 3, 4, 5, 7, 8, 9, 10, 11, 12, 13</p>	<p>Updates to worker guidance and training materials</p> <p>Number of trainings offered/attended</p> <p>Number of community events held</p> <p>Total equipment purchased for APS staff</p> <p>Amount spent in overtime or temp staff</p> <p>Number of clients in receipt of services</p>	<p>Expand APS staff skill set and knowledge; provide updated reference materials</p> <p>Bolster consistency of regulatory guidelines across the state</p> <p>Increase opportunities for APS staff to network and collaborate with other LDSS and partners</p> <p>Reduce technology-related barriers that delay the APS process</p> <p>Address APS administrative backlog, and support increase in client’s case-management needs</p>	<p>Improve caseworker ability to identify, assess, and minimize risk impacting vulnerable adults</p> <p>Execute delivery of APS services in a timely and efficient manner</p> <p>Maintain consistency in regulatory guidelines and service delivery across the state</p> <p>Reduce the length of time to reduce risks and achieve outcomes in open APS cases</p> <p>Decrease the frequency of APS involvement</p> <p>Maintain positive relationship with the community</p>

Purpose/Needs to Be Filled by ARPA Funds	Inputs (Resources Deployed)	Activities (Refer to Key on page 10)	Outputs From Investment	Short-Term Outcomes	Long-Term Outcomes
<p>Project 2</p> <p>Enhance/ improve use of legal interventions, including improved advocacy, awareness, and training for legal systems partners and stakeholders.</p>	<p>Utilization of funds to improve public awareness, and contract development for services and training</p>	<p>2, 4, 7, 8, 11, 12, 13</p>	<p>Number of trainings held/attended</p> <p>Number of events held/attended</p> <p>Number of clients in receipt of services</p> <p>Total costs of informational material</p>	<p>Bolster partnership with law enforcement agencies and other legal entities</p> <p>Assist APS clients with legal actions</p>	<p>Increase awareness and prevention of financial exploitation among the vulnerable population</p> <p>Increase number of and strengthen existing community and legal partnerships to raise awareness and coordination of investigation and case outcomes</p>
<p>Project 3</p> <p>Improve/ enhance effective utilization of multidisciplinary teams, community partners and resources to improve investigations, assessments, service delivery to reduce risk and protect vulnerable adults.</p>	<p>Expand opportunities for professional networking</p> <p>Increase opportunities for advanced training and professional development</p> <p>Create new multidisciplinary pilot</p>	<p>1, 4, 5, 7, 8, 9, 10, 11, 12, 13</p>	<p>Number of trainings held/attended</p> <p>Number of collaborative meetings held/attended</p> <p>Number of new partnerships formed</p> <p>Amount of funds spent on temporary hires/overtime</p>	<p>Enhance professional development for APS staff and related disciplines</p> <p>Improve access to and knowledge of community-based services available to APS clients</p> <p>Decrease administrative backlog</p>	<p>Establish, improve, and sustain relationships with professional and community partners</p> <p>Increase knowledge of the APS process among non-APS agencies dealing with vulnerable adults</p> <p>Increase visibility, and improve transparency and collaboration with partners</p> <p>Provide APS workers more time to devote to investigations, assessments, and service referrals</p>

Purpose/Needs to Be Filled by ARPA Funds	Inputs (Resources Deployed)	Activities (Refer to Key on page 10)	Outputs From Investment	Short-Term Outcomes	Long-Term Outcomes
<p>Project 4</p> <p>Enhance provision of protective and residential services in the least restrictive manner that will effectively protect and support self-determination of vulnerable and dependent adults.</p>	<p>Allocate funds to supplement county programs, conduct research, and provide direct services</p>	<p>1, 4, 5, 6, 8, 9, 10, 11, 12, 13</p>	<p>Total cost of funds utilized to assist APS clients to secure safe and permanent housing</p> <p>Total cost of goods and services for APS client</p> <p>Total cost of personal protective equipment.</p>	<p>Alleviate immediate housing needs in a timely manner</p> <p>Increase the number of vulnerable adults who can retain an appropriate level of independence in their residential settings</p>	<p>Obtain and sustain permanent housing</p> <p>Maximize independence among vulnerable adults</p> <p>Lessen frequency of critical and high-risk cases</p>
<p>Project 5</p> <p>Youth aging out of foster care or other welfare services who could benefit from and are eligible for adult protective services as they reach adulthood will be identified, have their needs assessed and be protected.</p>	<p>Contract and partner with local agencies</p> <p>Increase collaboration with child welfare, and other youth supportive agencies</p>	<p>1, 4, 8, 9, 12, 13</p>	<p>Total cost of goods and services for clients</p> <p>Number of clients in receipt of services</p> <p>Number of training, and professional development opportunities</p> <p>Number of collaborative meetings and partnerships held with agencies and providers</p>	<p>Improve transition processes and service access for children who are aging out of the foster care system</p>	<p>Bridge the gaps in services among young adults.</p> <p>Reduce number of young adults aging out in need of emergency protective services</p>

Purpose/Needs to Be Filled by ARPA Funds	Inputs (Resources Deployed)	Activities (Refer to Key on page 10)	Outputs From Investment	Short-Term Outcomes	Long-Term Outcomes
<p>Project 6</p> <p>Promote the safety and dignity of vulnerable adults by improving awareness of APS authority and of incidences of abuse, injury, exploitation, violence, and neglect.</p>	<p>Coordinate with media outlets to disseminate information about vulnerable adults</p> <p>Increase public awareness of APS via community partners and stakeholders</p>	<p>4, 8, 9, 11, 13</p>	<p>Number of training and professional development opportunities</p> <p>Number of public awareness and educational events</p> <p>Total cost of public awareness materials</p>	<p>Improve public and professional partners' awareness of APS criteria, eligibility, and authority</p> <p>Accessible outreach material</p>	<p>Increase knowledge on recognizing and reporting abuse, neglect, and self-neglect.</p> <p>Reduce immediate and critical risk to APS clients by increasing awareness of APS authority</p>

Budget / Spending Plan for ARPA funds – Semi-annually for 3 to 5 years.

Budget/Spending Plan will be used to enhance, improve, and expand the ability of APS workers to investigate allegations of abuse, neglect, and exploitation. Be sure to use separate line items for each major improvement project.

ARPA 1- First Grant Allocation - August 2021 - September 2024

** NYS Spending Authorization Received March 17, 2022

	Description	2022 Period 1	2022 Period 2	2023 Period 1	2023 Period 2	Total
Project 1	Improve/ enhance identification and investigation of vulnerable adults who self-neglect or are abused, neglected, or exploited by others	**	State Level \$69,200 Local Level \$212,924	State Level \$237,123 Local Level \$676,420	State Level \$224,563 Local Level \$483,490	\$1,903,720
Project 2	Enhance/ improve use of legal inventions including improved advocacy, awareness, and training for legal systems partners and stakeholders	**	State Level \$0 Local Level \$12,421	State Level \$0 Local Level \$38,200	State Level \$0 Local Level \$32,000	\$82,621
Project 3	Improve/ enhance effective utilization of multidisciplinary teams, community partners and resources to improve investigations, assessments, and service	**	State Level \$140,000 Local Level \$205, 126	State Level \$132,675 Local Level \$305,414	State Level \$132,675 Local Level \$215,000	\$1,130,890

Project 4	Enhance provision of protective and residential services in the least restrictive manner that will effectively protect and support self-determination of vulnerable and dependent adults	**	State Level \$0 Local Level \$1,200,000	State Level \$0 Local Level \$145,800	State Level \$0 Local Level \$135,000	\$1,480,800
Project 5	Youth aging out of foster care or other welfare services who could benefit from and are eligible for adult protective services as they reach adulthood will be identified, have their needs assessed, and be protected	**	State Level \$0 Local Level \$12,900	State Level \$0 Local Level \$55,500	State Level \$0 Local Level \$8,900	\$77,300
Project 6	Promote the safety and dignity of vulnerable adults by improving awareness of APS authority and of incidents of abuse, injury, exploitation, violence, and neglect	**	State Level \$39,000 Local Level \$15,000	State Level \$0 Local Level \$67,521	State Level \$0 Local Level \$67,520	\$189,041
Total Allocation		**	State Level \$248,200 Local Level \$1,658,371	State Level \$369,798 Local Level \$ 1,288,855	State Level \$357,238 Local Level \$941,910	\$4,864,372

ARPA 2- Second Grant Allocation - August 2022 September 2024

	Description	2023 Period 1	2023 Period 2	2024 Period 1	2024 Period 2	Total
Project 1	Improve/ enhance identification and investigation of vulnerable adults who self-neglect or are abused, neglected, or exploited by others.	State Level \$79,250 Local Level \$775,120	State Level \$79,250 Local Level \$740,950	State Level \$225,250 Local Level \$700,700	State Level \$146,000 Local Level \$560,500	\$3,307,020
Project 2	Enhance/ improve use of legal inventions including improved advocacy, awareness, and training for legal systems partners and stakeholders.	State Level \$0 Local Level \$13,000	State Level \$0 Local Level \$15,500	State Level \$0 Local Level \$15,900	State Level \$0 Local Level \$13,250	\$57,650
Project 3	Improve/ enhance effective utilization of multidisciplinary teams, community partners and resources to improve investigations, assessments, and service	State Level \$0 Local Level \$35,125	State Level \$ 0 Local Level \$25,125	State Level \$134,000 Local Level \$ 27,125	State Level \$134,000 Local Level \$21,250	\$376,625
Project 4	Enhance provision of protective and residential services in the least restrictive manner that will effectively protect and support self-determination of vulnerable and dependent adults	State Level \$100,000 Local Level \$1,200,080	State Level \$300,000 Local Level \$965,320	State Level \$200,000 Local Level \$865,100	State Level \$200,000 Local Level \$756,010	\$4,586,510

Project 5	Youth aging out of foster care or other welfare services who could benefit from and are eligible for adult protective services as they reach adulthood will be identified, have their needs assessed, and be protected.	State Level \$0 Local Level \$70,000	State Level \$0 Local Level \$75,900	State Level \$0 Local Level \$72,000	State Level \$0 Local Level \$75,000	\$292,900
Project 6	Promote the safety and dignity of vulnerable adults by improving awareness of APS authority and of incidents of abuse, injury, exploitation, violence, and neglect.	State Level \$19,000 Local Level \$140,800	State Level \$0 Local Level \$143,850	State Level \$0 Local Level \$145,000	State Level \$0 Local Level 125,991	\$574,641
Total Allocation		State Level \$198,250 Local Level \$2,234,125	State Level \$379,250 Local Level \$1,966,645	State Level \$559,250 Local Level \$1,825,825	State Level \$480,000 Local Level \$1,552,001	\$9,195,346

Summary of ARPA 1 + ARPA 2 Expense

** NYS Spending Authorization Received March 17, 2022

	2022 Period 1	2022 Period 2	2023 Period 1	2023 Period 2	2024 Period 1	2024 Period 2	Total
Summary	**	\$1,906,571	\$4,091,028	\$ 3,645,043	\$2,385,075	\$2,032,001	\$14,059,718

Categories of expenditures *may* include:

- Development Operational Plan
- Staffing (include FTE or Consulting Agreements)
- Training
- Technology Upgrade
- Equipment
- Administrative Costs
- Transportation
- Wraparound Services