

# State Plan Guidance (SPG)

## *Overview Training*

### **State Plan on Aging**

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ACL/AoA, Washington, DC

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# Our Mission

Maximize the  
independence, well-being, and health  
of older adults,  
people with disabilities across the lifespan,  
and their families and caregivers.

# Our Vision

For all people, regardless of age and disability, to live with dignity, make their own choices, and participate fully in society.



# Highlights

- New State Plan Guidance applies to any new state plan taking effect on or after October 1, 2022.
- Incorporates key changes from the 2020 reauthorization of the OAA.
- Includes Biden-Harris priorities that shape ACL's work.

# State Plans Due to ACL on July 1, 2022

- Arizona
- Arkansas
- District of Columbia
- Indiana
- Minnesota
- Mississippi
- North Dakota
- Ohio
- Oklahoma
- Texas
- Vermont
- Washington
- Wisconsin

# State Plans Due to ACL on July 1, 2023

- Alaska
- American Samoa
- Colorado
- Connecticut
- Georgia
- Guam
- Hawaii
- Louisiana
- Michigan
- Missouri
- Montana
- Nebraska
- New Hampshire
- New York
- North Carolina
- Northern Marianas
- Oregon
- Rhode Island
- Utah
- Virginia

# State Plans Due to ACL on July 1, 2024

- Alabama
- Delaware
- Idaho
- Illinois
- Maine
- Nevada
- Pennsylvania



# State Plans Due to ACL on July 1, 2025

- California
- Florida
- Iowa
- Kansas
- Kentucky
- Maryland
- Massachusetts
- New Jersey
- New Mexico
- Puerto Rico
- South Carolina
- South Dakota
- Tennessee
- West Virginia
- Wyoming

# 2020 OAA Reauthorization and the SPG

- Social Isolation
- Caregiving
- Nutrition - Malnutrition

# Social Isolation

- Requires taking a closer look at the negative health effects associated with social isolation
- Focus on screening for social isolation
- Title III-B adds supportive services that reduce social isolation



# Caregiving

- New term – caregiver assessment
- Caregivers can care for individuals of any age with Alzheimer's



# Nutrition - Malnutrition

- Reducing malnutrition
- States and AAAs should reduce administrative burdens so that resources are where they are needed the most

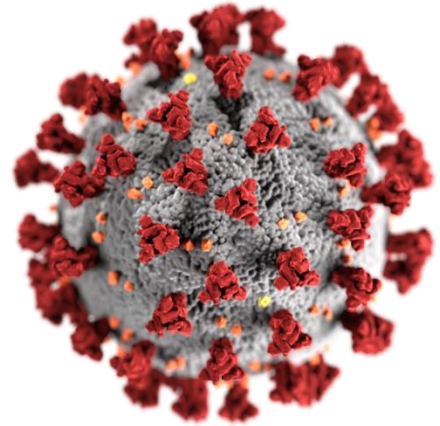


# Biden-Harris Administration Priorities and the New SPG

- COVID-19 Recovery
- Advancing Equity
- Expanding Access to HCBS
- Building a Caregiving Infrastructure

# COVID-19

- Significant Disruptions
- Significant Flexibilities
- Supplemental Funding
- Increased Needs of Older Adults



# Advancing Equity

- Broad approach
- Black, Latino, and Indigenous and Native American persons, Asian Americans and Pacific Islanders, and other persons of color; members of religious minorities; lesbian, gay, bisexual, transgender, and queer persons; persons with disabilities; and persons who live in rural areas
- individuals with limited English proficiency
- at risk of institutionalization



# Expanding Access to HCBS

- How is the state:
  - building HCBS capacity?
  - improving HCBS program quality through innovation, partnerships with other state and local agencies, community-based organizations (both within and outside the aging network)?

# Building a Caregiver Infrastructure

- Build off the key findings from the RAISE Family Caregiving Advisory Council and other national efforts.

# State Plan Content

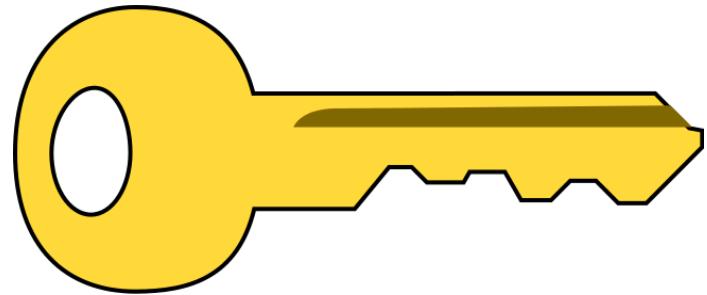
- Signed Verification of Intent



- Narrative
  - Executive Summary
  - Context
  - Quality Management
  - Goals, Objectives, Strategies and Outcomes

# State Plan Key Topic Areas

- OAA Core Programs Topic Area
- COVID-19 Topic Area
- Equity Topic Area
- Expanding Access to HCBS Topic Area
- Caregiving Topic Area



## Older Americans Act (OAA) Core Programs Topic Area

Overview	OAA core programs are found in Titles III (Supportive Services, Nutrition, Disease Prevention/Health Promotion and Caregiver Programs), VI (Native American Programs), and VII (Elder Rights Programs) and serve as the foundation of the national aging services network. All core programs must be addressed in the State Plan.
Areas to Address	<p>Describe plans and include objectives and the measures (data elements and sources) that you will use to demonstrate your progress towards:</p> <ul style="list-style-type: none"><li>○ Coordinating Title III programs with Title VI Native American programs (Sec. 307(a)(21));</li><li>○ Ensuring incorporation of the new purpose of nutrition programming to include addressing malnutrition (Sec. 330);</li><li>○ Preventing, detecting, assessing, intervening, and/or investigating elder abuse, neglect, and financial exploitation (Sec. 721(a));</li><li>○ Supporting and enhancing multi-disciplinary responses to elder abuse, neglect and exploitation involving adult protective services, LTC ombudsman programs, legal assistance programs, law enforcement, health care professionals, financial institutions, and other essential partners across the state (Sec. 721(b)(10)(C)(v));</li><li>○ Age and dementia friendly efforts (Sec. 201(f)(2));</li><li>○ Screening for fall related TBI (Sec. 321(a)(8));</li><li>○ Strengthening and/or expanding Title III &amp; VII services;</li><li>○ Improving coordination between the Senior Community Service Employment Program (SCSEP) and other OAA programs; and</li><li>○ Integrating core programs with ACL's non-formula based grant programs.</li></ul>

## COVID-19 Topic Area

Overview	<p>COVID-19 highlighted the overall importance of the services that make it possible for older adults to live independently, created a national awareness of the impact of social isolation on older adults and caregivers, and increased awareness of the need to plan for future disasters. It also transformed the aging network; drove rapid innovation and creation of new approaches that will endure beyond recovery; and increased awareness of the need to plan for future disasters. Finally, Congress provided significant increases in funding some of which remain available until expended.</p>
Areas to Address	<p>Describe plans and include objectives and the measures (data elements and sources) that you will use to demonstrate your progress towards:</p> <ul style="list-style-type: none"><li>○ Educating about the prevention of, detection of, and response to negative health effects associated with social isolation (Sec. 321(a)(8));</li><li>○ Dissemination of information about state assistive technology entity and access to assistive technology options for serving older individuals (Sec. 321(a)(11));</li><li>○ Providing trauma-informed services (Sec. 102(41));</li><li>○ Screening for suicide risk (Sec. 102(14)(G));</li><li>○ Inclusion of screening of immunization status and infectious disease and vaccine-preventable disease as part of evidence-based health promotion programs (Sec. 102(14)(B) and (D));</li><li>○ Providing services that are part of a public health emergency/emerging health threat and emergency preparedness (Sec. 307(a)(28) and (29)).</li><li>○ Expending American Rescue Plan funding and any other COVID-19 supplemental funding still available for expenditure; and</li><li>○ Incorporating innovative practices developed during the pandemic that increased access to services particularly for those with mobility and transportation issues as well as those in rural areas.</li></ul>

## Equity Topic Area

Overview	Serving individuals with the greatest economic and social need means ensuring equity in all aspects of plan administration. The State Plan should address activities to support these goals.
Areas to Address	<p>Describe plans and include objectives and the measures (data elements and sources) that you will use to demonstrate your progress towards:</p> <ul style="list-style-type: none"><li>○ Determining services needed and effectiveness of programs, policies and services for older individuals whose needs were the focus of all centers funded under title IV in fiscal year 2019 (Sec. 307(a)(30)(A))<sup>1</sup>;</li><li>○ Engagement in outreach with older individuals whose needs were the focus of all centers funded under title IV in fiscal year 2019 (Sec. 307(a)(30)(C));</li><li>○ Impacting social determinants of health of older individuals (Sec. 301(a)(1)(E));</li><li>○ Ensuring meals can be adjusted for cultural considerations and preferences and providing medically tailored meals to the maximum extent practicable (Sec. 339(2)(A)(iii));</li><li>○ Preparing, publishing, and disseminating educational materials dealing with the health and economic welfare of older individuals (Sec. 202(a)(7));</li><li>○ Supporting cultural experiences, activities, and services, including in the arts (Sec. 202(a)(5));</li><li>○ Serving older adults living with HIV/AIDS; and</li><li>○ Supporting participant-directed/person-centered planning for older adults and their caregivers across the spectrum of LTSS, including home, community, and institutional settings.</li></ul>

## Expanding Access to HCBS Topic Area

Overview	HCBS are fundamental to making it possible for older adults to age in place.
Areas to Address	<p>Describe plans and include objectives and the measures (data elements and sources) that you will use to demonstrate your progress towards:</p> <ul style="list-style-type: none"><li>○ Securing the opportunity for older individuals to receive managed in-home and community-based long-term care services (Sec. 301(a)(2)(D));</li><li>○ Promoting the development and implementation of a state system of long-term care that is a comprehensive, coordinated system that enables older individuals to receive long-term care in home and community-based settings, in a manner responsive to the needs and preferences of the older individuals and their family caregivers (Sec. 305(a)(3));</li><li>○ Ensuring that area agencies on aging will conduct efforts to facilitate the coordination of community-based, long-term care services for older individuals who: reside at home and are at risk of institutionalization because of limitations on their ability to function independently; are patients in hospitals and are at risk of prolonged institutionalization; or are patients in long-term care facilities, but who can return to their homes if community-based services are provided to them (sec. 307(a)(18(A)-(C));</li><li>○ Working towards the integration of health, health care and social services systems, including efforts through contractual arrangements; and</li><li>○ Incorporating aging network services with HCBS funded by other entities such as Medicaid.</li></ul>



## Caregiving Topic Area

Overview	Enhancing services and supports for caregivers.
Areas to Address	<p>Describe plans and include objectives and the measures (data elements and sources) that you will use to demonstrate your progress towards:</p> <ul style="list-style-type: none"><li>○ Documenting best practices related to caregiver support (Sec. 373(e)(1));</li><li>○ Strengthening and supporting the direct care workforce (Sec. 411(a)(13))</li><li>○ Implementing recommendations from the RAISE Family Caregiver Advisory Council;</li><li>○ Coordinating Title III caregiving efforts with the Lifespan Respite Care program; and</li><li>○ Coordinating with the National Technical Assistance Center on Grandfamilies and Kinship Families.</li></ul>

# Attachments to the State Plan

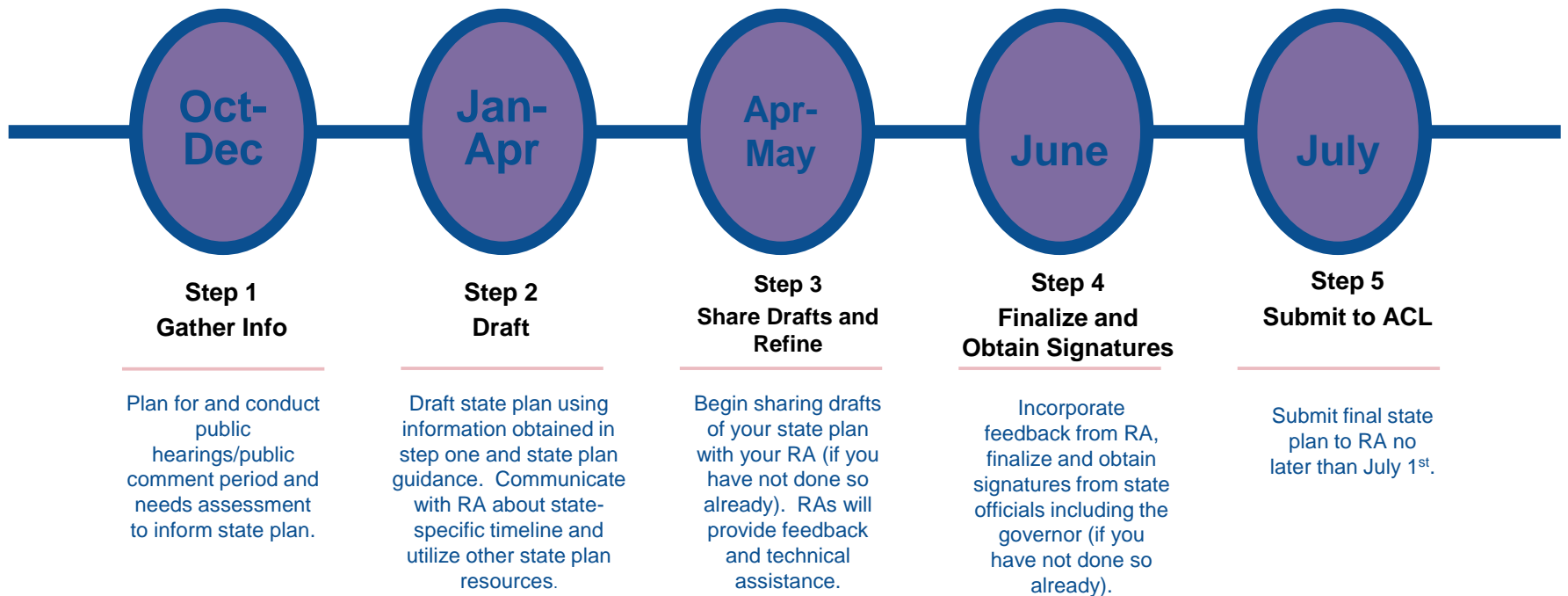
- Attachment A – State Plan Assurances
- Attachment B – Information Requirements
- Attachment C – IFF Requirements

# State Plan Resources

- **ACL National Resource Centers:**  
<https://acl.gov/node/2884>
  - **SAGE Aging Network Planning Tools:**  
<https://www.lgbtagingcenter.org/resources/resources.cfm?s=36>
- **ADvancing States Tools for Planning:**  
<http://www.advancingstates.org/state-technical-assistance/tools-planning>
- **ACL Regional Support Center:**  
<https://acl.gov/about-acl/regional-offices>
- **State Plan Guidance**  
[https://acl.gov/sites/default/files/about-acl/2021-08/State%20Plan%20Guidance\\_Plan%20Due%20Oct%202022%20-%20ACL%20SUA%20Directors%20Letter%20%2301-2021.pdf](https://acl.gov/sites/default/files/about-acl/2021-08/State%20Plan%20Guidance_Plan%20Due%20Oct%202022%20-%20ACL%20SUA%20Directors%20Letter%20%2301-2021.pdf)

# State Plan Development

## Sample Timeline



# Questions and Answers



# Upcoming Trainings

- Please join us for additional trainings related to State Plan Guidance
  - Funding Formula Development and Revision on October 20<sup>th</sup> at 3:00pm EST
  - Developing Performance Measures with Clear Outcomes on November 15<sup>th</sup> at 2:00pm EST

# Presenters' Contact Info

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